CERTIFICATE OF MAILING I here certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Compaissioner for Patents, Washington, D.C. 20231 on this date. Cindy Kim Hoang Ded or Printed Name

Signature UCAL-217 Attorney Docket **INFORMATION** First Named Inventor

DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(e)

Address to: Assistant Commissioner for Patents Washington, D.C. 20231

HUANG, YADONG 10/033,526 Application Number 7367 Confirmation No. November 2, 2001 Filing Date 1647 Group Art Unit NICHOLS, CHRISTOPHER J. **Examiner Name** 

"METHODS OF TREATING DISORDERS RELATED Title: TO APOE"

Sir:

Applicants submit herewith patents and/or publications which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. While this Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is "prior art" for this invention unless specifically designated as such. A listing of patents and/or publications is shown on enclosed Form PTO-SB/08A and a copy of each patent and/or publication is also enclosed.

Each item of information contained in the Information Disclosure Statement filed herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement (37 C.F.R. 1.97(e)(1)). A copy of the communication is enclosed for the Examiner's convenience.

The Examiner is requested to make the citations listed on the enclosed PTO-SB/08A of record in this application. Applicants would appreciate the Examiner initialing and returning the initialed copy of form PTO-SB/08A, indicating the references have been considered and made of record herein.

No fee is believed due as this statement is being submitted within three months of the mailing date of the enclosed foreign communication. However, if it is determined that fees are required, the Commissioner is hereby authorized to charge any necessary fees associated with this communication or credit any overpayment to Deposit Account No. 50-0815, Order No. UCAL-217.

> Respectfully submitted, BOZICEVIC, FIELD & FRANCIS LLP

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Dec. 17, 2002

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